

For the month ended (dd/mm/yyyy):

Monthly Return of Equity Issuer on Movements in Securities

Го: Hong Kong Exchanges and Clearing Limited							
Name of Issuer InvesTecl Date Submitted 04/10/202			ings Limited				
I. Movements in Authorised Sh	are Capita	al					
1. Ordinary Shares							
(1) Stock code : <u>1087</u>	_Descrip	tion:	Ordinary	Shares			
			of ordinary shares	Par value (State currency)	Authorised share capital (State currency)		
Balance at close of preceding m	nonth	2	2,500,000,000	USD0.02	USD50,000,000		
Increase/(decrease)			N/A		N/A		
Balance at close of the month		2	2,500,000,000	USD0.02	<u>USD50,000,000</u>		
(2) Stock code : <u>N/A</u>	_Descrip	tion :	N/A				
			of ordinary shares	Par value (State currency)	Authorised share capital (State currency)		
Balance at close of preceding n	nonth						
Increase/(decrease)				_			
Balance at close of the month							

30/09/2016

2. Preference Sha	ares				
Stock code:	N/A	_Descrip	tion: <u>N/A</u>		
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of preceding n	nonth			
Increase/(decreas	se)				
Balance at close	of the month				
3. Other Classes	of Shares				
Stock code:	N/A	Descrip	tion: N/A		
			No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of preceding n	nonth			
Increase/(decreas	se)				
Balance at close	of the month				
Total authorised <i>currency</i>):	share capital a	t the end	of the month (State	U	SD50,000,000

II. Movements in Issued Share Capital

	No. of ordina	ary shares	No of preference	No. of other classes	
	(1)	(2)	shares	of shares	
Balance at close of preceding month	819,000,000	N/A	N/A	N/A	
preceding month	819,000,000	11/71			
Increase/ (decrease) during the month	N/A	N/A	N/A	<u>N/A</u>	
Balance at close of the month	819,000,000	N/A	N/A	<u>N/A</u>	

III. Details of Movements in Issued Share Capital

options (State currency)

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date Movement during the month option share of issuer issued during the month option the month option as at close of the month option option scheme issuer which may be issued pursuant thereto as at close of the month option option scheme issuer which may be including EGM approval date option scheme including the month option scheme issuer issued during the month option scheme issuer issued during the month option scheme issuer which may be including the month option scheme including the month option scheme issuer issued during the month option scheme issuer issued during the month option scheme issuer which may be including the month option scheme issuer which may be including the month option scheme issuer which may be including the month option scheme issuer which may be including the month option scheme issuer which may be included the month option scheme issuer which may be included the month option scheme issuer which may be included the month option scheme including the month option scheme issuer which may be included the month option scheme including the month option scheme issuer which may be included the month option scheme included the month option scheme issuer which may be included the month option scheme included the month option scheme issuer which may be included the month option scheme included the mon

/ 11/ / \ =		Wiovernent duri	ing the month			
(dd/mm/yyyy) and class of shares	Cuantad	Evansisad	Canaalla ¹	Lamand		
issuable 1. Share option	Granted	Exercised	Cancelled	Lapsed		
scheme						
Scheme						
-						
(25/10/2010)						
Ordinary shares	N/A	N/A	N/A	N/A	N/A	N/A
(Note 1)	14/11	1 1/11	11/11	14/11	11/11	17/11
(1,000 1)						
2.						
(/ /)						
shares						
(Note 1)						
_						
3.						
-						
shares						
(Note 1)		Tz	otal A. (Ordin	ary charec)	N/A	
		10		nce shares)	N/A	
				Other class)	N/A	
Total funds raised durir	ng the month fr	rom exercise of	(1 1/ 1 1	
Total lulius laiscu uulli	ւց ատ ուսուս ու	CACICISC OI				

N/A

For Main Board and GEM listed issuers Warrants to Issue Shares of the Issuer which are to be Listed No. of new No. of new shares of shares of issuer which may be issuer issued issued pursuant during the thereto as at month Currency of Nominal value at Exercised Nominal value pursuant close of the Description of warrants nominal close of during the at close of the thereto month (Date of expiry - dd/mm/yyyy) value preceding month month month 1. Options HK\$ HK\$ 117,000,000 HK\$ 23,400,000 Nil 23,400,000 Nil (20/07/2017) Stock code (if listed) N/A Class of shares issuable (Note 1) Ordinary shares Subscription price HK\$0.2 per option (*Note*) EGM approval date (if applicable) (dd/mm/yyyy) (06/07/2016)2. N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) N/A / / Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) N/A Stock code (if listed) Class of shares

issuable (*Note 1*) Subscription price EGM approval date (if applicable)

(dd/mm/yyyy)

(/ / _)

Total B.	(Ordinary shares)	N/A
	(Preference shares)	N/A
	(Other class)	N/A
	•	

Class and description		Amount at close of preceding month		Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
Stock code (if listed)						
issuable (Note 1)						
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A	(/ /)					
2. IVA						
						
Stock code (if listed) Class of shares						
issuable (<i>Note 1</i>) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
3. N/A	<u> </u>					
Stock code (if listed)				-		
Class of shares issuable (Note 1)						
Subscription price						
(if applicable)						
(dd/mm/yyyy) 4. N/A	(/ /)					
T. 1V/A						
Stock code (if listed)	<u> </u>					
Class of shares issuable (Note 1)						
Subscription price						
(if applicable)						
(dd/mm/yyyy)	(/ /)		Total C. (Ordin	nary shares)	N/A	
			(Prefere	ence shares)	N/A	<u>-</u>
			(Other class)	N/A	-

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>		
	-	
(/ /)	-	
shares (Note 1)		
2. N/A		
2. IVA	-	
(/ /) shares (Note 1)		
Shares (Note 1)		
3. <u>N/A</u>		
shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

	Type of Issue	Issued Share Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	1) 01 155 02 155 03 155		Class of shares issuable				
			(Note 1)				
1.	Rights issue	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			(dd. mm. jjjj)			N/A	N/A
			Class of shares issuable				
		At price . State	(Note 1) Issue and allotment	(/ /)		
2.	Open offer	At price : State currency	date : (dd/mm/yyyy)		,		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			(N/A	N/A
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date:	(/ /)		
			(dd/mm/yyyy)			N/A	N/A
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date: (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			(dd/mm/yyyy)			N/A	N/A

For Main Board and GEM listed issuers

Class of shares issuable Class of shares issuable Class of shares Cancellation date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) N/A		Type of Issue		T OI WALL	Bou	No. of new shares of issuer issued during the month pursuant thereto	No. of new
Second description of shares State State		Type of Issue	Class of shares issuable				
Class of shares			(Note 1)				
Class of shares repurchased (Note 1) N/A N/A	5.	Scrip dividend At price : State currency ———		(/ /)		
Class of shares repurchased (Note 1) Cancellation date : (/ /) (dd/mm/yyyy)				(/ /)		
Cancellation date : (/ /)			, JJJJJ/			N/A	N/A
6. Repurchase of shares Cancellation date : (/ /)							
Shares			_	(`		
Class of shares redeemed (Note 1) N/A N/A	6.			(/ /	,		
Class of shares redeemed (Note 1)				(/ /)		
redeemed (Note 1) Redemption date: (/ /) (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) N/A N/A Class of shares issuable (Note 1) State (Note 1) State (Note 1) Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy)			, JJJJJ/			N/A	N/A
7. Redemption of shares Redemption date: (/ /) (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) N/A N/A N/A Class of shares issuable (/ /) (Note 1) Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy)							
Class of shares issuable (Note 1) 8. Consideration issue At price: State currency Issue and allotment date: (dd/mm/yyyy) EGM approval date: (///) (dd/mm/yyyy)	7.		Redemption date:	(/ /)		
Class of shares issuable (Note 1) 8. Consideration issue At price: State currency Issue and allotment date: (dd/mm/yyyy) EGM approval date: (///)			EGM approval date:	(/ /)		
8. Consideration issue At price: State Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)			(dd/mm/yyyy)			N/A	N/A
8. Consideration issue At price: State Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)							
8. Consideration issue At price: currency — date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy)							
(dd/mm/yyyy)	8.			(/ /)		
N/A N/A				(/ /)		
			(dd/IIIII/yyyy)			N/A	N/A

				For Main	Board	and GEM liste	d issuers
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable (Note 1)				
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
			Class of shares issuable (Note 1)				
10.	Other (Please specify)	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
				Ordinary s reference s (Other	shares	N/A	
To	otal increase / (decre	ase) in ordinary shares during	g the month (i.e. Total of A to	E):	(1)	/A /A
To	otal increase / (decre	ease) in preference shares dur	ring the month (i.e. Total of A	to E):	(2		/A
To	otal increase / (decre	ease) in other classes of share	es during the month (i.e. Total	of A to E):		N	/A

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if	anv`):

Note: the allotment and issue of up to a maximum number of 117,000,000 new ordinary shares of USD0.02 each in the share capital of the Company credited as fully paid at exercise price of HK\$1.9 per Conversion Share. The Subscriber is required to exercise all outstanding Options within 5 business days from the day that the 30-day average closing price of the Shares record at a level above HK\$2.40 during 12 months from the date of issue of the Options. Details can be refer to the announcements dated 20 May 2016, 27 May 2016 and 21 July 2016 and circular dated 20 June 2016.

Submitted by: Ho Wing Yan

Title: Company Secretary

(Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.